

## UTILITY PATENT APPLICATION TRANSMITTAL

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Transmitted herewith for filing is the patent application of:

INVENTOR(S): John K. ALEX, Reinhard BUENDGEN, Chun-Shi CHANG, Rong-Sheng LEE,  
Jeffrey S. LUCASH, Thomas LUMPP, and Juergen SCHNEIDER

TITLE: POLICY DRIVEN AUTONOMIC COMPUTING - PROGRAMMATIC POLICY DEFINITIONS

## ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION  
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

In connection with this application, the following are enclosed:

- XX Fee Transmittal Form
- 48 Pages of Specification, Claims and Abstract
- 21 Claims
- 11 Sheets of Drawings (FIGS. 1-14)
- XX Declaration and Power of Attorney
- XX Information Disclosure Statement and Form PTO-1449
- XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION
- XX Please direct all correspondence to **Customer Number 23334**.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN,  
BONGINI & BIANCO P.L.  
One Boca Commerce Center  
551 Northwest 77th Street, Suite 111  
Boca Raton, FL 33487  
(561) 989-9811  
(561) 989-9812 Fax

BY:

  
Jose Gutman  
Reg. No. 35,121

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: POU920030134US1  
John K. ALEX *et al.* : APPLICATIONS BRANCH  
Serial No. (not yet assigned) :  
Filed: HEREWITH :

For: *POLICY DRIVEN AUTONOMIC COMPUTING -  
PROGRAMMATIC POLICY DEFINITIONS*

## CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. **EV343426363US**  
Date of Deposit: **September 30, 2003**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

I hereby certify that

☒ Application Transmittal  
☒ Fee Transmittal Form  
☒ Specification, Claims, Abstract  
☒ 1 set of 11 sheets of drawings  
☒ Declaration and Power of Attorney  
☒ Information Disclosure Statement and Form PTO-1449  
☒ Assignment Recordation Sheet with Assignment  
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

9/30/03  
Date of Deposit

Karen Taragowski  
Name of person mailing papers

Karen Taragowski  
Signature

**FEE TRANSMITTAL**

Transmitted herewith for filing is the patent application of:

**INVENTOR(S):** John K. ALEX, Reinhard BUENDGEN, Chun-Shi CHANG, Rong-Sheng LEE,  
Jeffrey S. LUCASH, Thomas LUMPP, and Juergen SCHNEIDER

**TITLE:** *POLICY DRIVEN AUTONOMIC COMPUTING -  
PROGRAMMATIC POLICY DEFINITIONS*

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$750 (\$375)
Total Claims	21	20	1	\$18 (\$9)	18
Independent Claims	6	3	3	\$84 (\$42)	252
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				<b>TOTAL FEE:</b>	<b>\$ 1,060</b>

XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$1060. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN  
BONGINI & BIANCO P.L.  
One Boca Commerce Center  
551 Northwest 77th Street, Suite 111  
Boca Raton, FL 33487  
(561) 989-9811  
(561) 989-9812 Fax

BY:

  
Jose Gutman  
Reg. No. 35,171